



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training

Center General Complex
1511 Pontiac Avenue
Cranston, RI 02920

Lincoln D. Chafee
Governor
Charles J. Fogarty
Director

WORKFORCE INVESTMENT NOTICE: 11-20

TO: WORKFORCE INVESTMENT AREAS

FROM: David Tremblay, Administrator - Workforce Investment Office

SUBJECT: Reallotment of Workforce Investment Act (WIA) Title I Formula-Allotted Funds for Dislocated Worker Activities

DATE: June 29, 2012

1. Purpose. To provide adjusted funding levels for Program Year (PY) 2011 allotments for the Dislocated Worker program under WIA Title I Section 132(c) on recapture and reallotment.

2. References.

- (a) TEGL's 25-11, 26-10
- (b) WIN's 11-02, 11-12, 11-14
- (c) Full-Year Continuing Appropriations Act, 2011, Division B, Title I and Title VIII, P.L. 112-10 (Fiscal Year (FY) 2011 Appropriations Act)
- (d) Workforce Investment Act Section 132(c), P.L. 105-220, 29 U.S.C. 2862(c)
- (e) Secretary's Reallotment Procedures, 20 CFR 667.150

3. Background. Under WIA Section 132(c), the Secretary conducts a reallotment of Dislocated Worker formula funds based on state financial reports submitted at

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the end of the Program Year. The procedures the Secretary uses for recapture and reallocation of funds are described in WIA regulations at Section 667.150. The Secretary did not recapture any PY 2011 funds for the Adult and Youth programs because all states met the statutory thresholds. For the Dislocated Worker program, however, a total of \$251,529 in unobligated funds was recaptured from one state and reallocated to the remaining states, as required by WIA Section 132(c). The State of Rhode Island's reallocation is \$1,301 and will be applied to the FY12 portion of the PY11 allotments.

4. Procedures for Distribution and Reporting. Neither WIA statutory language nor WIA regulatory language provides specific requirements by which a state must distribute recaptured funds among the state itself and any local areas, so states have the flexibility to determine the methodology to be used. For any state from which funds are being recaptured, WIA Section 132(c) (5) requires the Governor to prescribe equitable procedures for making funds available between the state and local areas. Rhode Island will distribute the reallocated amount based on the original percentage distribution for PY-11 DW funds as provided in WIN 11-02. Attached is a table displaying the net change and your new DW availability.

For reporting purposes, the recapture/reallocation amount must be reflected (decrease or increase) in the "Total Federal Funds Authorized" line of any affected FY 2012 WIA Financial Status Reports (Statewide Activities, Statewide Rapid Response, Local Dislocated Worker Program Activities, Local Administration) in a manner consistent with the method of distribution of these amounts to states and local areas. An explanation of the adjustment should be included in the remarks sections of your adjusted reports.

5. Inquiries. Questions regarding the revised allotments may be directed to the State Workforce Investment Office Administrator at 462-8812.

6. Attachments. Chart

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Reallotted Amount	1,301
5% State Set-aside	65
25% Rapid Response	325
70% Local Area	911
GRI 75.60%	689
P/C 24.40%	222

NEW AVAILABILITIES FOR PY-11/FY-12 DW PROGRAMS			
	TOTAL	PY-11	FY-12
State Availability	5,097,608	976,405	4,121,203
State Set-aside	254,880	48,820	206,060
Rapid Response	1,274,402	244,101	1,030,301
GRI	2,697,655	516,714	2,180,941
PC	870,671	166,770	703,901

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